PTO/SB/17 (10-03)

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	•	for	FY	20	04	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 180.00

Complete if Known				
Application Number	09/998,156			
Filing Date	NOVEMBER 15, 2001			
First Named Inventor	AVI J. ASHKENAZI			
Examiner Name	LANDSMAN, ROBERT S.			
Art Unit	1647			
Attornov Docket No.	39780-2730 P1C28			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			
Check Credit card Money Other None	3. ADDITIONAL FEES			
Deposit Account:	Large Entity	- <del></del>		
Deposit Account 08-1641 (39780-2730 P1C28)		ee Fee Fee Description Code (\$) <u>Fee Paid</u>		
Account Number U8-1641 (39/80-2/30 P1C28)	1051 130	2051 65 Surcharge - late filing fee or oath		
Deposit Account HELLER EHRMAN WHITE & McAULIFFE LLP	1052 50	2052 25 Surcharge - late provisional filing fee or cover sheet		
Name The Director is authorized to: (check all that apply)	1053 130	1053 130 Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1	1812 2,520 For filing a request for ex parte reexamination		
Charge any additional fee(s) or any underpayment of fee(s)	1804 920*	1804 920* Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee	1805 1,840*	1805 1,840* Requesting publication of SIR after Examiner action		
to the above-identified deposit account.	1251 110	2251 55 Extension for reply within first month		
FEE CALCULATION	1	2252 210 Extension for reply within second month		
1. BASIC FILING FEE Large Entity Small Entity		2253 475 Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid	1254 1,480	2254 740 Extension for reply within fourth month		
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255 2,010	2255 1,005 Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401 330	2401 165 Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402 330	2402 165 Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403 290	2403 145 Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451 1,510	1451 1,510 Petition to institute a public use proceeding		
SUBTOTAL (1) (\$)	1452 110	2452 55 Petition to revive - unavoidable		
	1453 1,330	2453 665 Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	, , , , , , , , , , , , , , , , , , ,	2501 665 Utility issue fee (or reissue)		
Extra Claims below Fee Paid  Total Claims 20** = X	1502 480	2502 240 Design issue fee		
Total Claims -20** = X = Independent X = X	1503 640	2503 320 Plant issue fee		
Claims -3	1460 130	1460 130 Petitions to the Commissioner		
	1807 50	1807 50 Processing fee under 37 CFR 1.17(q)  1808 180 Submission of Information Disclosure Stmt  180.00		
Large Entity   Small Entity	1806 180 8021 40	Recording each patent assignment per		
1202 18 2202 9 Claims in excess of 20		property (times number of properties)		
1201 86 2201 43 Independent claims in excess of 3	1809 770	2809 385 Filing a submission after final rejection (37 CFR 1.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770	2810 385 For each additional invention to be examined (37 CFR 1.129(b))		
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770	2801 385 Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900	1802 900 Request for expedited examination of a design application		
(a)	Other fee (specify)			
SUBTOTAL (2) (\$)  **or number previously paid, if greater; For Reissues, see above	*Reduced by I	Basic Filing Fee Paid SUBTOTAL (3) (\$) 180.00		

(Complete (if applicable)) SUBMITTED BY Registration No. Telephone (650) 324-7000 **DAPHNE REDDY** 53,507 Name (Print/Type) Daphne Reddy **AUGUST 10, 2004** Date Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	) Examiner: Landsman, Robert S.
Avi J. ASHKENAZI, et al.	) Art Unit: 1647
Application Serial No. 09/998,156	) Confirmation No. 5501
Filed: November 15, 2001	) Attorney's Docket No. 39780-2730 P1C28
For: PRO844 ANTIBODIES	) Customer No. 35489

EXPRESS MAIL LABEL NO. <u>EL 977 678 019 US</u>

DATE MAILED: AUGUST 10, 2004

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):  (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  OR  (2) It is being filed within 3 months of entry of a national stage  OR  (3) It is being filed before the mail date of the first Office Action on the merits  OR  (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.	cited in	the state	ement is	, or is considered to be, material to patentability as defined in §1.36.
continued prosecution application under § 1.53(d)  OR  (2) It is being filed within 3 months of entry of a national stage  OR  (3) It is being filed before the mail date of the first Office Action on the merits  OR  (4) It is being filed before the mailing of a first Office Action after the filing of a		This sta	atement	qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
OR  (3) It is being filed before the mail date of the first Office Action on the merits OR  (4) It is being filed before the mailing of a first Office Action after the filing of a			(1)	
OR  (4) It is being filed before the mailing of a first Office Action after the filing of a			(2)	•
			(3)	
			(4)	

37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national

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нелл	ER EH	IRMAN WHITE & McAUL	IFFE	ELLP			
Date:	August	10, 2004	By:_	Daphne Reddy (Reg. No. 53,507)			
			Resp	spectfully submitted,			
	Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$180.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39780-2730 P1C28).						
	C.	a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included wi the payment of other papers filed together with this statement.					
	B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and						
	A. a certification as specified in §1.97(e) is completed below; and						
	37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a fina office action under §1.113 or a notice of allowance under §1.311, but before payment of the is fee, then:						
	$\boxtimes$	a fee of \$180.00 as set forth in § the payment of other papers file		(p) is authorized below, enclosed, or included with gether with this statement.	1		
		a certification as specified in §1	.97(e)	e) is provided below; or			
	stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.11 or a notice of allowance under §1.311, then:						

275 Middlefield Road Menlo Park, California 94025

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## ATTY. DOCKET NO.: **SERIAL NO.:** INFORMATION DISCLOSURE 09/998,156 **STATEMENT** 39780-2730 P1C28 APPLICANT: Avi J. ASHKENAZI, et al. PTO-1449 **GROUP: 1647** FILING DATE: November 15, 2001 **U.S. PATENT DOCUMENTS** CLASS **SUBCLASS FILING DATE EXAMINER'S** DATE NAME PATENT NO. **INITIALS** FOREIGN PATENT DOCUMENTS **SUBCLASS** TRANSLATION **CLASS EXAMINER'S** PATENT NO. DATE **COUNTRY** INITIALS YES NO $\Box$ П OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Dayhoff, Accession No.: P\_AAB65255, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, A.J., et al. Dayhoff Accession No.: P\_AAY66732, WO 9963088-A2, Pub Date: December 9, 1999, Baker, K., et al. Dayhoff Accession No.: P\_AAB27652, WO 200053757-A2, Pub Date: September 14, 2000, Ashkenazi, A.J., et al. GenBank, Accession No.: P\_AAA99904, WO 200053757-A2, Pub Date: September 14, 2000, Ashkenazi, A.J., et. al. GenBank, Accession No.: P\_AAZ65078, WO 9963088-A2, Pub Date: December 9, 1999, Baker, K., et. al. GenBank, Accession No.: P\_AAF44224, WO 200073454-A1, Pub Date: December 7, 2000, Ashkenazi, AJ., et. al.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.